

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



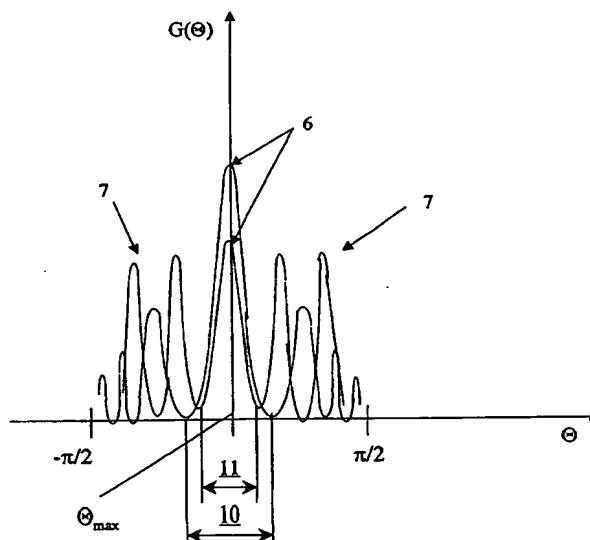
(43) International Publication Date
11 March 2004 (11.03.2004)

PCT

(10) International Publication Number
WO 2004/021512 A1

- (51) International Patent Classification⁷: **H01Q 3/01**, 21/00, G01S 3/38
- (21) International Application Number: PCT/SE2002/001550
- (22) International Filing Date: 30 August 2002 (30.08.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (71) Applicant (for all designated States except US): TELEFONAKTIEBOLAGET LM ERICSSON [SE/SE]; S-126 25 Stockholm (SE).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): NUMMINEN, Michael [SE/SE]; Gyllenstjärms väg 8A, S-371 40 Karlskrona (SE).
- (74) Agents: ALBIHNS GÖTEBORG AB et al.; Box 142, S-401 22 Göteborg (SE).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR ENHANCING THE MEASURING ACCURACY IN AN ANTENNA ARRAY



(57) Abstract: The invention refers to a method and a system for enhancing the measuring accuracy in an antenna array (1), where the method comprises the steps of; a) receiving analog signals on all antenna elements (2) at a first time t_1 ; producing first values for a first radiation diagram from the values in the signals from the first time (t_1), and; finding the maximum point (8) for the first values, b) -reducing the signal from one interadjacent antenna element (2) at a second time (t_2); receiving analog signals on all antenna elements (2) except from the one switched off or reduced antenna element, and; producing second values for a second radiation diagram from the values in the signals from the second time (t_2); c) rejecting all values outside a first range (9) calculated from the first values, and; finding the maximum point (8) for the second values.

BEST AVAILABLE COPY

WO 2004/021512 A1

TITLE

Method for enhancing the measuring accuracy in an antenna array

TECHNICAL FIELD

5 The invention refers to a method for enhancing the measuring accuracy in an antenna array comprising a number of antenna elements. The method comprises the steps of;

- receiving analog signals with the antenna array elements, and;
- producing values for a radiation diagram from the signals.

10

The invention also refers to an antenna array system for performing the method.

BACKGROUND ART

15 In the field of antenna array systems it is well known to use antenna elements in the antenna array to shape a beam sent out from the antenna array. It is also known to let all the antenna elements in the antenna array receive signals. When the antenna array receives the signals it is possible to use one or several of the antenna elements, or even sub-array systems
20 comprising a number of antenna elements. The antenna array can be used, for example, in a radar system or a sonar system and is intended to be used in trying to estimate the direction-of-arrival of a target.

When using the antenna array applications there is a wish to obtain high
25 resolution and accurate estimation of the direction-of-arrival of the target. In order to gain the best performance possible it is common knowledge that there has to be a trade off between the standard deviation σ (or variance σ^2) of the angle for detecting the target and the SNR (Signal to Noise Ratio). The higher the SNR the lower the standard deviation. The standard deviation is
30 coupled to the probability of finding the target. The higher the standard deviation the lower the probability. The so-called "Cramér-Rao Lower Boundary (CRB)", defines the theoretically best ratio between the SNR and

the standard deviation σ for Additive White Gaussian noise (AWGN) signals. It is the desire of every antenna user to have a system that performs as close as possible to the CRB. This is due to the fact that for a given SNR the lower the standard deviation the closer to the CRB, i.e. the better the accuracy of direction-of-arrival estimation of a target.

However, the SNR is also coupled to the performance of the antenna system and the size of the targets. The performance refers to the probability of estimating the direction of arrival of a target. The accuracy depends on the width of the top of the main lobe, if the target is represented by the main lobe. The higher the SNR the more narrow the top of the main lobe. It is the tapering of the main lobe and the pointyness of the main lobe that tells where the maximum of the main lobe can be found in a radiation diagram. The more pointed the lobe the better the measuring accuracy when finding the main lobe maximum, i.e. the better measuring accuracy when estimating the direction of arrival of a target.

The lower limit for the SNR, i.e. the lowest performance possible for the antenna system, occurs where the noise in the signal drowns the signal from the target. This becomes clear if one follows the CRB when diminishing the SNR. The standard deviation increases with decreasing SNR i.e. it becomes more difficult to correctly estimate the direction of arrival of the target the lower the SNR. A strong signal compared to a low noise gives a high SNR and a low uncertainty of the estimation of the direction-of-arrival of the target, and vice versa for a low SNR.

It is a desirable feature for an antenna system to have the ability to detect and estimate the direction of arrival of the target with a reasonable probability (reasonably low standard deviation). An optimum is thus sought for the trade of between low standard deviation and low SNR.

As has been stated above, one way to obtain an antenna system with good direction finding ability is to narrow the main lobe. This can be carried out by separating the antenna elements in the antenna array. The more separated the elements are the more narrow the main lobe becomes and thus the better direction finding ability of the system.

However, the separation of the antenna elements give rise to grating lobes due to the so-called Spatial Aliasing Phenomena. The problem with grating lobes occurs when the antenna elements are separated by more than half a wavelength λ , i.e. at the Nyquist frequency. The grating lobes are mathematical products that will appear in an antenna diagram showing a radiation diagram of the gain $G(\theta)$ versus the azimuth angle θ . The integral over the radiation diagram is constant independent on the size of the main lobe and the size and number of the grating lobes, i.e. the more grating lobes the lesser and narrower the main lobe.

The grating lobes will appear on each side of the main lobe and with decreased amplitude the further away from the main lobe they are found. The two grating lobes closest to the main lobe have the highest amplitude. The grating lobes are thus dependent of the angle and can be interpreted as signals from the main lobe seen from the side angles θ .

The grating lobes cause problems when trying to detect the direction of arrival of a target. The target will randomly skip between the grating lobes for low SNR and will therefore create random errors regarding the detection probability of the target. Thus, the grating lobes generate a high standard deviation.

As has been described above, the more separated the antennas are, the larger the antenna becomes and the narrower the main lobe. The narrower the main lobe the better the direction detection probability, i.e. the better the

estimation of direction-of-arrival. However, the more separated the antennas are, the more and the higher the grating lobes will appear in the radiation diagram of the antenna array.

- 5 To sum up the above, when the antenna elements are separated far enough to give a narrow enough main lobe to get a good estimation of direction-of-arrival, the grating lobes will cause an uncertainty because the target skips between the main lobe and the grating lobes.
- 10 It is an object of the invention to diminish random errors regarding the resolving probability of the target when trying to narrow the main lobe, in order to get better estimation of the direction-of-arrival of a target. It is thus an object of the invention to eliminate the grating lobe problem when trying to "zoom in" on a target, i.e. to achieve a better measuring accuracy.

15

DISCLOSURE OF INVENTION

The invention intends to meet the above objectives with a method for enhancing the measuring accuracy in an antenna array comprising a number of antenna elements.

20

The method comprises the steps of;

- receiving analog signals with the antenna array elements, and;
 - producing values for a radiation diagram from the values in the signals, where the radiation diagram displays a main lobe and grating lobes when
- 25 present.

The analog signals are advantageously converted to digital signals by sampling and the radiation diagram is advantageously produced from the values in the digital signals.

30

The radiation diagram presents the values from the received signal as the gain $G(\theta)$ versus the azimuth angle θ . The gain $G(\theta)$ for the different angles

θ are produced by using the antenna elements in pairs for calculating different values in the received signal at different angles. The antenna array is advantageously operational where the angle (θ) is varied between $-\pi/2$ - $\pi/2$. The values for the different angles are measured by using the different
5 antenna elements in pairs. In order to be able to measure the effect for different angles for the incoming signal, the antenna array uses at least two antenna elements that are omnidirectional.

The invention is characterised in that the method comprises the steps of;

- 10 a) -receiving analog signals on all antenna elements at a first time t_1 ;
- producing first values for a first radiation diagram from the values in the signals from the first time t_1 , and;
-finding the maximum point for the first values:
- 15 b) - switching off or reducing the signal from one interadjacent antenna element at a second time t_2 ;
-receiving analog signals on all antenna elements except from the one switched off or reduced antenna element, and;
- producing second values for a second radiation diagram from the values in the signals from the second time t_2 ;
- 20 c)-using the first values to calculate a first range referring to the second radiation diagram, outside which first range grating lobes will appear in the second radiation diagram;
-rejecting all values outside the first range, thereby excluding the ambiguities in the second radiation diagram due to grating lobes, and;
- 25 -finding the maximum point for the second values.

The first part of step a) is to receive analog signals on all antenna elements at a first time t_1 . The antenna elements are advantageously placed in the antenna array with their relative distance equal to or greater than the
30 wavelength divided by two. The wavelength refers to the frequency used in the antenna array system. The relative distance of the antenna elements

fulfils the Nyqvist criteria which is which is why there will be no grating lobes appearing in the radiation diagram when using a full array.

5 The expression "finding or calculating the maximum point for the values", refers to finding the maximum point for the main lobe, i.e. finding or calculating the maximum value for the gain in the radiation diagram. Hence, the method intends to find or calculate at what angle θ_{\max} the maximum point for the main lobe will appear in the radiation diagram.

10 The maximum value is sought for the values that are represented in the radiation diagram. The values are preferably saved in a memory as a vector comprising the gain $G(\theta)$ versus the azimuth angle θ . There are a numerous techniques known for calculating the maximum value. One way to calculate the maximum value is to search the vector for the maximum value for the
15 gain and to find out at what angle the maximum value is present. Another way to find the maximum value is to make a graphical calculation, for example trying to find the solution to the equation where the derivative is zero.

20 Below the invention will be described as finding values in the radiation diagrams. However, the invention may instead use the technique of finding the values in the vectors generating the radiation diagrams. The two techniques shall be seen as essentially equivalent to each other.

25 According to step b), the antenna elements may be switched off or reduced by using antenna elements that may be manipulated, for example electronically.

30 By switching off interadjacent antenna elements at certain times, the time domain is used in order to achieve a better measuring accuracy. As mentioned above, the more separated the antenna elements the narrower

the main lobe. However, the more separated the antenna elements the more grating lobes will appear.

By using the result from the first time t_1 , it is possible to calculate a first range
 5 outside which range the grating lobes will appear in the second radiation diagram. Such calculation is known in prior art and may be carried out by using the relative distance between two antenna elements and the frequency used in the antenna array system. This calculation is also functional for subgroups of antenna element.

10

The range is calculated dependent on the antenna array configuration at the time. Below an example will be given in order to clarify the method of calculating the range.

15 W denotes the antenna array configuration at a certain time and the numbers denotes different subgroups of the antenna array configuration at the time. X denotes active antenna elements and a hyphen denotes a switched off or reduced antenna element.

20 W: x x x - - - x x x

This may be described in vector form as:

$$W(k) = [1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1] \quad (1 \times 9 \text{ vector}) \quad (1)$$

25

The antenna array configuration may be divided into:

W1: x - - - - x

30 Vector form:

$$W1(k) = [1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1] \quad (1 \times 7 \text{ vector}) \quad (2)$$

and:

W2: x x x

5 Vector form:

$$W2(k) = [1 \ 1 \ 1] \quad (1 \times 3 \text{ vector}) \quad (3)$$

$$W(k) = W1(k) * W2(k) \quad (1 \times 9 \text{ vector}) \quad (4)$$

10

where * denotes convolution.

From (2) it is possible to calculate the range by using a Fourier transform on (2). After that, the transform is set to zero and the complex zero that has the least angle is identified. The complex zero will appear on the unity circle as a pair of complex conjugates. The range may now be found as the distance between the complex zeroes along the unity circle. In order to get the distance in radians, a substitution of variables may be done according to:

$$20 \quad \theta = \arcsin (u/dk) \quad (5)$$

where u = the variable used in the Fourier transform and where d = the distance between the antenna elements and where k = the wave number.

25 If the change in the array configuration is known in advance, the range may be calculated in advance and may then be applied in the different radiation diagrams. The calculations may advantageously be done by a computer or the like.

30 The first range may be used for rejecting all parts of the second radiation diagram outside the first range, thereby excluding the ambiguities in the second radiation diagram due to grating lobes.

The first range determines where the maximum point of the main lobe can be found and where no grating lobes will appear. As mentioned before, the grating lobes may cause ambiguities since one of the grating lobes may appear to be the maximum point for the radiation diagram. If one of the grating lobes is used as the maximum point for the radiation diagram, the estimation of the direction of arrival of the target will be wrong. By using the first range, all grating lobes may be rejected and the maximum point for the main lobe can be calculated without any ambiguities stemming from the grating lobes.

After rejecting the grating lobes, the second radiation diagram may be used for calculating at which angle θ the maximum point for the main lobe appears in the second radiation diagram, with a narrower main lobe than in the first radiation diagram.

Step b) and step c) may be repeated in time until the antenna array comprises only the two outermost antenna elements. This antenna configuration generates the narrowest main lobe with the lowest amplitude and the highest grating lobes. Thus, this configuration produces a radiation diagram where it may be difficult to find the maximum point for the main lobe. The grating lobes may actually be as high as the main lobe for some antenna configurations.

However, a new range and a new maximum have been calculated for every time when the antenna array configuration has changed. The grating lobes may be disregarded at each time and the maximum point for the main lobe may thus be calculated for the antenna configuration with only the outermost antenna elements. This enhances the measuring accuracy for the antenna array configuration and enables a better estimation of direction of arrival of a target.

The radiation diagrams comprise the values of the gain $G(\theta)$ versus the azimuth angle θ . Since the number of antenna elements at the second time t_2 is less than the maximum number of antenna elements, grating lobes will appear in the second radiation diagram. Consequently, since the antenna configuration at a third time t_3 has even lesser antenna elements, more grating lobes will appear in a third radiation diagram. In the second and third radiation diagram the grating lobes appear at different angles dependent on which and how many antenna elements that has been switched of or reduced. In the second radiation diagram the first grating lobes appear on each side of the main lobe at certain angles, e.g. $\theta_{l2} = X_{l2}$ radians (or degrees) and $\theta_{l2} = -X_{l2}$ radians (degrees). In the third radiation diagram the first grating lobes appear on each side of the main lobe at certain angles, e.g. $\theta_{l3} = X_{l3}$ radians (or degrees) and $\theta_{l3} = -X_{l3}$ radians (degrees). According to the invention, X_{l2} is greater than X_{l3} and $-X_{l2}$ is lesser than X_{l3} , i.e. the first grating lobes in the third radiation diagram appear closer to the main lobe than the first grating lobes in the second radiation diagram. The third radiation diagram presents a second range outside which the grating lobes will appear. According to the above the second range must be narrower than the first range.

20

However, the main lobe in the second and third radiation diagrams appears within every corresponding range if the SNR is high enough. Furthermore, the main lobe is narrower in the third radiation diagram than in the second radiation diagram and the second range is narrower than the first range, which is why the third radiation diagram shows a better measuring accuracy than the second radiation diagram after rejecting values outside each of the ranges.

25

In another embodiment of the invention, a number of radiation diagrams will be produced for every antenna array configuration. The number of radiation diagrams may be used for calculating a mean value for the antenna array

30

configuration, i.e. a mean value for the gain at different angles. A mean value may be calculated by any known methods e.g. Bartlett. Furthermore, when calculating a number of radiation diagrams for each time, it is possible to reject all radiation diagrams where the maximum is found/calculated outside
5 the range. This increases the measuring accuracy since the desired maximum value for the main lobe may be found in at least one radiation diagram.

The invention may thus be used by dynamically altering the antenna array
10 such that interadjacent antenna elements are switched off or reduced until only the outermost antenna elements remain.

The benefits of the invention above method will become apparent when describing the embodiments below.

15 The amplitudes of the main lobes $G_{t1}(\theta_{\text{main}})$, $G_{t2}(\theta_{\text{main}})$ do not have to be global maximums in the radiation diagram, but may be local maximums. If the main lobe is not a global maximum, there will be an error in the resolution since the maximum point will be found outside the range and the grating
20 lobes will thus generate a drastic increase in the standard deviation.

BRIEF DESCRIPTION OF DRAWINGS

The invention will now be described with reference to the drawings below.

25 Fig. 1 shows an antenna array according to one embodiment of the invention, with a number t_1 - t_4 configurations in time.

Fig. 2 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ at the first time t_1 for the antenna array in fig. 1.

Fig. 3 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ at the second time t_2 for the antenna array in fig. 1.

Fig. 4 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ at the third time t_3 for the antenna array in fig. 1.

Fig. 5 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ at the fourth time t_4 for the antenna array in fig. 1.

Fig. 6 shows the radiation diagram in fig. 3 overlapping the radiation diagram in fig. 2.

Fig. 7 shows the radiation diagram in fig. 4 overlapping the radiation diagram in fig. 3.

Fig. 8 shows the radiation diagram in fig. 5 overlapping the radiation diagram in fig. 4.

Fig. 9 schematically shows a graph depicting Cramér-Rao Lower Boundary (CRB), and the standard deviation σ versus the Signal to Noise Ratio (SNR) when using reduced antenna-array.

Fig. 10 schematically shows a two-dimensional antenna array with different configurations at different times.

Fig. 11 schematically shows a frontal radiation diagram of an antenna array system according to fig. 9.

Fig. 12 diagrammatically shows a block diagram over the method according to the invention according to one embodiment.

MODES FOR CARRYING OUT THE INVENTION

The description of the different embodiments below shall not be seen as limiting for the invention, but merely as examples within the scope of the claims.

5

An antenna array 1 uses antenna elements 2 for receiving analog signals. The antenna array 1 is connected to an analog to digital converter that samples the analog signals and creates a digital signal. The digital signal comprises values from the analog signal at certain points in time. The values
10 may be represented as the gain $G(\theta)$ versus the angle θ . The values may be presented in a radiation diagram in order to illustrate the strength of the analog signal for different angles at certain points in time.

Fig. 1 shows an antenna array 1 according to one embodiment of the
15 invention comprising five antenna elements 2, with a number of configurations in time. The antenna elements 2 are depicted with a large X. At the first time t_1 the antenna array 1 uses all of the antenna elements 2. At the second time t_2 the antenna array 1 uses all but one of the antenna elements 2. At the third time t_3 the antenna array 1 uses all but two of the
20 antenna elements 2. At the fourth time t_4 the antenna array 1 uses all but three of the antenna elements 2. As can be seen in fig. 1, the configuration of the antenna array 1 at the fourth time t_4 uses only the two most widely separated antenna elements 2.

25 With "not using" an antenna element 2 or "removing" one antenna element, means that the signals from the antenna array 1 are reduced or blocked. This is advantageously done before the sampling of the signals, but may be carried out after the sampling. However, if the antenna elements 2 are to be reduced or blocked after the sampling, the system should require one
30 sampling device per antenna element. In fig. 1 the reduced antenna elements 2 are depicted with a small x and denoted with 2'.

In fig. 1 the distance between the antenna elements is denoted 3, 4, 5. The distance between two antenna elements with a reduced antenna element in-between is denoted 4. The distance between two outermost antenna elements is denoted 5.

5

The use of different antenna elements 2 according to above increases the phase centre between subgroups of antenna elements 2. At the fourth time t_4 , the distance 5 between the two outermost antenna elements 2 gives the maximum increase in phase centre for the antenna array 1 according to the embodiment. As has been described before, the increase in distance between the antenna elements 2 narrows the main lobe 6 (see fig. 2) but decreases the amplitude. One drawback is the generation of grating lobes 7 (see fig. 2) which may cause an increase in the standard deviation. However, for every increase in distance between the antenna elements 2 according to above, the closer to the main lobe 6 the grating lobes 7 will appear.

Fig. 2 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ for a full antenna array 1 corresponding to the first time t_1 . If the distance 3 between the antenna elements 2 is equal to or less than half the wavelength, no grating lobes 7 (see fig. 3) will appear. In this embodiment the distance 3 between the antenna elements 2 is equal to or less than half the wavelength. In fig. 2 this becomes apparent since only a main lobe 6 appears in the radiation diagram. In this embodiment, there are no errors in the estimation of the direction-of-arrival since no grating lobes 7 appear. The maximum point 8 for the radiation diagram coincides with the apex of the main lobe 6. However, the width of the top of the main lobe 6 gives rise to uncertainty regarding the estimation of the direction-of-arrival.

As can be seen in fig. 2, the antenna array 1 is advantageously operational where the angle (θ) is varied between $-\pi/2$ - $\pi/2$.

In fig. 2 the main lobe 6 appears within the range of $-\pi/2$ - $\pi/2$.

Fig. 3 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ for a reduced antenna array 1 corresponding to the second time t_2 . Here the distance between the central antenna elements 2 is more than half the wavelength λ which is why first grating lobes 7 appear in the radiation diagram. In fig. 3 this becomes apparent since both a main lobe 6 and two grating lobes 7 appear in the radiation diagram. In this embodiment, the first grating lobes 7 give rise to an uncertainty regarding the estimation of the direction-of-arrival.

The maximum point 8 for the radiation diagram coincides with the apex of the main lobe, but the main lobe 6 has a lesser amplitude than at the first time t_1 . However, the width of the top of the main lobe 6 is narrower than at the first time t_1 but still gives rise to uncertainty.

In fig. 3, the first grating lobes 7 appear outside a first range 9, essentially symmetrically around the main lobe 6. The first range 9 extends between the minimum points 12 between the main lobe 6 and the first grating lobes 7.

Fig. 4 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ for a reduced antenna array 1 corresponding to the third time t_3 . Here the distance 6 between the central antenna elements 2 is even greater than at the second time t_2 which is why even more grating lobes 7 appear in the radiation diagram. In fig. 4 this becomes apparent since both a main lobe 6 and several grating lobes 7 appear in the radiation diagram. The first grating lobes 7 at the third time t_3 appear closer to the main lobe 6 than at the second time t_2 and also have greater amplitude. In this embodiment, the grating lobes 7 give rise to even greater uncertainty regarding the estimation of the direction-of-arrival, since they are higher and the main lobe 6 smaller.

The maximum point 8 for the radiation diagram coincides with the apex of the main lobe, but the main lobe 6 has a lesser amplitude than at the second time t_2 , which is why the ratio between the main lobe 6 and the first grating lobes 7 has diminished compared to the ratio at the second time. However, the width of the top of the main lobe 6 is narrower than at the first time t_1 but still gives rise to uncertainty.

In fig. 4, the grating lobes 7 appear outside a second range 10, essentially symmetrically around the main lobe 6. The second range 10 extends between the minimum points 12 between the main lobe 6 and the first grating lobes 7.

Fig. 5 schematically shows a radiation diagram, with the gain in the signal $G(\theta)$ versus the angle θ for a reduced antenna array 1 corresponding to the fourth time t_4 . In this embodiment the antenna array 1 configuration only comprises the two outermost lying antenna elements 2. This may be compared to a spatial two-element comb filter. Here the distance 6 between the central antenna elements 2 is thus even greater than at the third time t_3 which is why even more grating lobes 7 appear in the radiation diagram. In fig. 5 this becomes apparent since both a main lobe 6 and several grating lobes 7 appear in the radiation diagram. The first grating lobes 7 at the fourth time t_4 appear closer to the main lobe 6 than at the second time t_2 and also have greater amplitude. Actually, all grating lobes 7 in fig. 5 theoretically have the same amplitude. (compare to the previously known spatial two-element comb filter) In this embodiment, the grating lobes 7 give rise to even greater uncertainty regarding the estimation of the direction-of-arrival.

The maximum point 8 for the radiation diagram coincides with the apex of the main lobe, but the main lobe 6 has a lesser amplitude than at the third time t_3 , which is why the ratio between the main lobe 6 and the first grating lobes 7 has diminished compared to the ratio at the third time. In fig. 5 the amplitudes

of the first grating lobes 7 are essentially as high as for the main lobe 6. This configuration of the antenna array 1 gives rise to a large uncertainty for the estimation of the direction-of-arrival of a target, since it is not obvious that the target will appear in the main lobe, but may appear in one of the closest
5 grating lobes 7.

However, the width of the top of the main lobe 6 at the fourth time t_4 is the most narrow compared to all of the other antenna configurations. If it is certain that the target appears in the main lobe, the narrow top of the main
10 lobe 6 gives a good estimation of direction-of-arrival. One problem thus to be solved is the uncertainty which is dependent on the grating lobes 7.

In fig. 5, the grating lobes 7 appear outside a third range 11, essentially symmetrically around the main lobe 6. The third range 11 extends between
15 the minimum points 12 between the main lobe 6 and the first grating lobes 7.

One important aspect, however, is that the centre line of the main lobe 6 for figs. 2-5 is mainly stationary (if the surrounding is stationary for all the antenna array 1 configurations in time), i.e. has not moved, for all the times t_1
20 - t_4 , but the first grating lobes 7 have moved closer to the main lobe 6 the more separated the antenna elements 2 are. The centre line for the main lobe 6 at different times may change somewhat dependent on the magnitude of noise or other phenomena that may disturb the signal. However, the main lobe 6 will always appear within the calculated range which is why the
25 maximum point 8 for the main lobe 6 at each time t_n is easy to calculate.

Fig. 6 shows the radiation diagram in fig. 2 overlapping the radiation diagram in fig. 3. As can be seen in fig. 6, the centre line of the main lobes 6 has not moved. However, the grating lobes 7 from the radiation diagram in fig. 3 (i.e.
30 at the second time t_2) have moved closer to the centre of the main lobes 6 than the grating lobes 7 from the radiation diagram in fig. 2 (i.e. at the first time t_1). Furthermore, it becomes obvious from fig. 6 that the main lobe 6 at

the second time t_2 is more narrow but with less amplitude than the main lobe 6 at the first time. Fig. 6 also shows the second range 10 being smaller than the first range 9.

- 5 Fig. 7 shows the radiation diagram in fig. 3 overlapping the radiation diagram in fig. 4. As can be seen in fig. 7, the centre line of the main lobes 6 has not moved. However, the grating lobes 7 from the radiation diagram in fig. 4 (i.e. at the third time t_3) have moved closer to the centre of the main lobes 6 than the grating lobes 7 from the radiation diagram in fig. 3 (i.e. at the second time
- 10 t_2). Furthermore, it becomes obvious from fig. 7 that the main lobe 6 at the third time t_3 is more narrow but with less amplitude than the main lobe 6 at the second time t_2 . Fig. 7 also shows the third range 11 being smaller than the second range 10.
- 15 Fig. 8 shows the radiation diagram in fig. 4 overlapping the radiation diagram in fig. 5. As can be seen in fig. 8, the centre line of the main lobes 6 has not moved. However, the grating lobes 7 from the radiation diagram in fig. 5 (i.e. at the fourth time t_4) have moved closer to the centre of the main lobes 6 than the grating lobes 7 from the radiation diagram in fig. 3 (i.e. at the third time
- 20 t_3). Furthermore, it becomes obvious from fig. 8 that the main lobe 6 at the fourth time t_4 is more narrow but with less amplitude than the main lobe 6 at the third time t_3 . Fig. 8 also shows the fourth range being smaller than the third range 11.
- 25 The grating lobes 7 will appear closer to the main lobe 6 for each time that an antenna element 2 is removed and the main lobe 6 will become narrower. In figs. 6-8 the advantage of the invention is apparent, where the rejection of the grating lobes 7 for the different times generate a possibility to gain a narrow main lobe 6 that enables a good measuring accuracy of a target.

30

Fig. 9 schematically shows a graph depicting the Cramér-Rao Lower Boundary (CRB) and the standard deviation σ versus the Signal to Noise

Ratio (SNR). The standard deviation is a deviation of the angle. Figure 9 also shows a first point P_1 at a certain distance from CRB and a second point P_2 at a second location. The first point P_1 has a higher SNR and consequently a lower standard deviation σ than the second point P_2 . However, a lower SNR allows more noise in the signal, which is why the antenna array 1 may be used for detecting a target at a further distance (or a smaller target) than with a system at the first point P_1 , if the increase in the standard deviation can be accepted.

10 The first point and the second point P_1 , P_2 symbolise an antenna array 1 system with decreased SNR from the first point P_1 to the second point P_2 along the CRB. At P_2 there is a threshold to a third point P_3 . The threshold is a result of grating lobes 7 appearing at lower SNR. The grating lobes 7 give rise to an uncertainty of the angular estimation to the target.

15

Fig. 9 shows that a SNR higher than at point two, P_2 , gives a good probability of estimation of direction-of-arrival of a target at the main lobe 6. However this is only true if the maximum point of the radiation diagram coincides with the maximum point 8 of the main lobe 6. With a lower SNR than at the second point P_2 , it is not certain that the maximum point of the radiation diagram is where the maximum point 8 for the main lobe 6 is, but the maximum point might be deemed by the system to be at one of the closest grating lobes 7. If this is the case, the estimation of direction-of-arrival of a target will be carried out at one of the grating lobes 7.

25

If one of the grating lobes 7 has been deemed by the system to be the maximum point and if the amplitude of the grating lobes 7 is lesser than the amplitude of the main lobe, the distance from the top of the main lobe 6 to the top of the grating lobes 7 may be greater than the width of the top of the main lobe 6. This gives rise to a considerable increase in the uncertainty for the estimation of direction-of-arrival of a target and thus an increase of the standard deviation.

30

When reducing the array according to the invention the width of the main lobe 6 will decrease, and the threshold will move closer to the CRB with a demand for a higher SNR, than for the previous configuration of the array.

- 5 This is depicted in fig. 9 where the second point P_2 has moved to the fourth point P_4 .

As pointed out before, the decrease of the main lobe 6 width decreases the standard deviation σ , but puts a demand for a higher SNR. This has been
10 pointed out in fig. 9 as moving the first, second and the third points P_1 , P_2 and P_3 to the corresponding fourth, fifth and sixth points P_4 , P_5 and P_6 .

As pointed out before, one problem with separating the antenna elements 2 is that at one point, grating lobes 7 will appear in the radiation diagram due to
15 the separation. The grating lobes 7 will generate a decrease of probability of estimation of direction-of-arrival of the target. The target will randomly appear in the grating lobes 7 as a defect estimation of the direction-of-arrival. The sudden appearance of grating lobes 7, and thus the decrease of probability, is manifested in fig. 9 as a jump from the second point P_2 to a third point P_3 .
20 The third point P_3 has a higher degree of standard deviation σ than the second point P_2 for essentially the same SNR.

According to the invention, the rejection of the grating lobes 7 outside the ranges for the different radiation diagrams, removes the jump from the
25 second point P_2 to a third point P_3 as well as the jump from the fifth point P_5 to a sixth point P_6 . This is due to the fact that there will be no errors when calculating the maximum point 8 for the main lobe 6 since there are no maximum points from grating lobes 7 that can give rise to an error. In fig 9, this is depicted as moving the third point P_3 to the third point prime P_3' and as
30 moving the sixth point P_6 to the sixth point prime P_6' .

Fig. 10 shows a two-dimensional antenna array 1 system according to one embodiment of the invention, with a number of configurations in time t_1 , t_m , t_n . The antenna array 1 system comprises five rows along an Y-axis. Each row comprises ten antenna elements 2 along a Z-axis. The antenna elements 2 are reduced or switched off in the same manner as described in fig. 1. The invention described above is thus possible to use on two-dimensional antenna arrays.

Fig. 11 shows a frontal radiation diagram of an antenna array 1 system according to fig. 10. The displacement of the grating lobes 7 towards the centre of the main lobes 6 has been depicted as a number of grating lobes 7 on an Z-axis on opposite sides of the main lobes 6 and a number of grating lobes 7 on an Y-axis on opposite sides of the main lobes 6. The displacement of the grating lobes 7 along the Z-axis corresponds to the removal of antenna elements 2 along the Z-axis in fig. 10. The displacement of the grating lobes 7 along the Y-axis corresponds to the removal of antenna elements 2 along the Y-axis in fig. 10.

The invention may be used for a two-dimensional array as depicted in fig. 10. Fig 11 shows that the reduction of the two-dimensional antenna array 1 gives diminished ranges for the grating lobes 7 and a diminished width of the main lobe, as for the one-dimensional antenna array 1 as depicted in fig. 1. The grating lobes 7 may thus be rejected in a two-dimensional antenna array 1 system according to the above method.

Fig 12 shows a block diagram over the method according to the invention according to one embodiment. The blocks in fig. 12 depict a number of means suitable for performing the method.

Fig. 12 shows an antenna array 1 system 23 comprising means for enhancing the measuring accuracy in an antenna array 1 comprising a number of antenna elements 2. The antenna array 1 system 23 comprises;

- means 13 for receiving analog signals with the antenna array 1 elements, and;
- means 14 for producing values for a radiation diagram from the signals.

5 The antenna array 1 system 23 is characterised in that the antenna array 1 comprises;

- a) –means 13 for receiving analog signals on all antenna elements 2 at a first time t_1 ;
 - means 14 for producing first values for a first radiation diagram from the
- 10 values in the signals from the first time t_1 , and;
 - means 15 for finding the maximum point 8 for the first values,
- b) – means 16 for switching off or reducing the signal from one interadjacent antenna element 2 at a second time t_2 ;
 - means 13 for receiving analog signals on all antenna elements 2 except
- 15 from the one switched off or reduced antenna element, and;
 - means 14 for producing second values for a second radiation diagram from the values in the signals from the second time t_2 ;
- c)-means 17 for using the first values to calculate a first range 9 referring to the second radiation diagram, outside which first range 9 grating lobes 7 will
- 20 appear in the second radiation diagram;
 - means 18 for rejecting all values outside the first range 9, and;
 - means 15 for finding the maximum point 8 for the second values.

25 When using a full array there will be no grating lobes which is why the antenna array 1 system 23 will not calculate a range nor will the system reject any grating lobes at. Therefore, in fig. 12, the means 17 for using values to calculate a range and means 18 for rejecting all values outside such a range, will not be used for the first cycle.

30 The system comprises means 19 for repeating step b) and step c) such that the antenna configuration dynamically is altered such that interadjacent

antenna elements 2 are switched off or reduced until only the outermost antenna elements 2 remain.

5 The means 15 for finding the maximum point 8 for the values comprises means 20 for calculating at what angle θ_{\max} the maximum point 8 for the main lobe 6 appears in a radiation diagram.

10 The system comprises means 21 for converting the analog signals to digital signals by sampling.

The system comprises means 22 for producing a radiation diagram from the values.

15 The antenna elements 2 have a relative distance 3 such that no grating lobes 7 will occur when using all elements in a full array.

The above mentioned means may be any suitable devices for handling signals and for performing mathematical tasks, for example a computer.

20 The embodiments above have been described as removing a number of antenna elements 2, but by removing it is to be understood that the antenna elements 2 are dampened or reduced rather than removed.

25 Furthermore the invention can be used for the same frequency between the different times or for different frequencies.

The invention is not limited to the embodiments above, but may be amended within the scope of the claims.

CLAIMS

1. Method for enhancing the measuring accuracy in an antenna array (1) comprising a number of antenna elements (2), where the method comprises the steps of;
- 5 - receiving analog signals with the antenna array (1) elements, and;
 - producing values for a radiation diagram from the signals,
 c h a r a c t e r i z e d i n that the method comprises the steps of;
- a) -receiving analog signals on all antenna elements (2) at a first time t_1 ;
 - producing first values for a first radiation diagram from the values in the
10 signals from the first time t_1 , and;
 - finding the maximum point (8) for the first values,
 b) - switching off or reducing the signal from one interadjacent antenna
 element (2) at a second time (t_2);
 - receiving analog signals on all antenna elements (2) except from the one
15 switched off or reduced antenna element, and;
 - producing second values for a second radiation diagram from the values in
 the signals from the second time (t_2);
 c) - using the first values to calculate a first range (9) referring to the second
 radiation diagram, outside which the first range (9) grating lobes (7) will
20 appear in the second radiation diagram;
 - rejecting all values outside the first range (9), and;
 - finding the maximum point (8) for the second values.
2. Method according to claim 1, c h a r a c t e r i z e d i n that step b) and step
25 c) is repeated such that the antenna configuration dynamically is altered such
 that interadjacent antenna elements 2 are switched off or reduced until only
 the outermost antenna elements 2 remain.
3. Method according to claim 1 or 2, c h a r a c t e r i z e d i n that the step of
30 finding the maximum point (8) for the values refers to calculating at which
 angle (θ_{\max}) the maximum point (8) for the main lobe (6) appears in a
 radiation diagram.

4. Method according to any one of the previous claims, characterized in that method comprises the step of converting the analog signals to digital signals by sampling.

5

5. Method according to any one of the previous claims, characterized in that the method comprises the step of producing a radiation diagram from the values.

10 6. Method according to any one of the previous claims, characterized in that the antenna elements (2) have a relative distance such that no grating lobes (7) will occur when using all elements in a full array.

15 7. Antenna array (1) system (23) comprising means for enhancing the measuring accuracy in an antenna array (1) comprising a number of antenna elements (2), where the antenna array (1) system (23) comprises;

- means (13) for receiving analog signals with the antenna array (1) elements, and;

-means (14) for producing values for a radiation diagram from the signals,

20 characterized in that antenna array (1) comprises;

a) –means (13) for receiving analog signals on all antenna elements (2) at a first time (t_1);

- means (14) for producing first values for a first radiation diagram from the values in the signals from the first time (t_1), and;

25 -means (15) for finding the maximum point (8) for the first values,

b) – means (16) for switching off or reducing the signal from one interadjacent antenna element (2) at a second time (t_2);

-means (13) for receiving analog signals on all antenna elements (2) except from the one switched off or reduced antenna element, and;

30 - means (14) for producing second values for a second radiation diagram from the values in the signals from the second time (t_2);

c)-means (17) for using the first values to calculate a first range (9) referring to the second radiation diagram, outside which first range (9) grating lobes (7) will appear in the second radiation diagram;

-means (18) for rejecting all values outside the first range (9), and;

5 -means (15) for finding the maximum point (8) for the second values.

8. Antenna array (1) system (23) according to claim 7, characterized in that the system comprises means (19) for repeating step b) and step c) such that the antenna configuration dynamically is altered such that
10 interadjacent antenna elements (2) are switched off or reduced until only the outermost antenna elements (2) remain.

9. Antenna array (1) system (23) according to claim 7 or 8, characterized in that the means (15) for finding the maximum point
15 (8) for the values comprises means for calculating at what angle (θ_{\max}) the maximum point (8) for the main lobe (6) appears in a radiation diagram.

10. Antenna array (1) system (23) according to any one of claims 7-9, characterized in that the system comprises means (21) for converting
20 the analog signals to digital signals by sampling.

11. Antenna array (1) system (23) according to any one of claims 7-10, characterized in that the system comprises means (22) for producing
a radiation diagram from the values.

25

12. Antenna array (1) system (23) according to any one of claims 7-10, characterized in that the antenna elements (2) have a relative distance (3) such that no grating lobes (7) will occur when using all elements in a full array.

1/12

Fig. 1

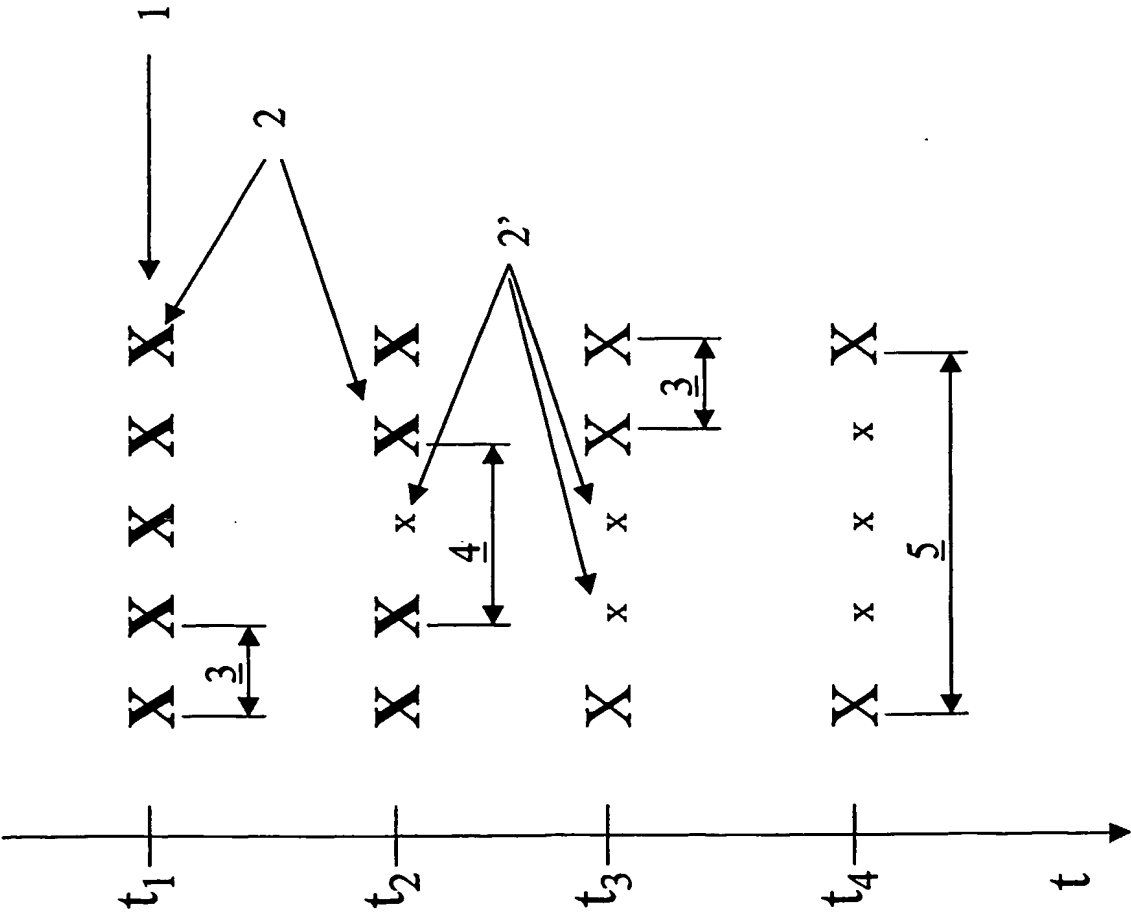


Fig. 2

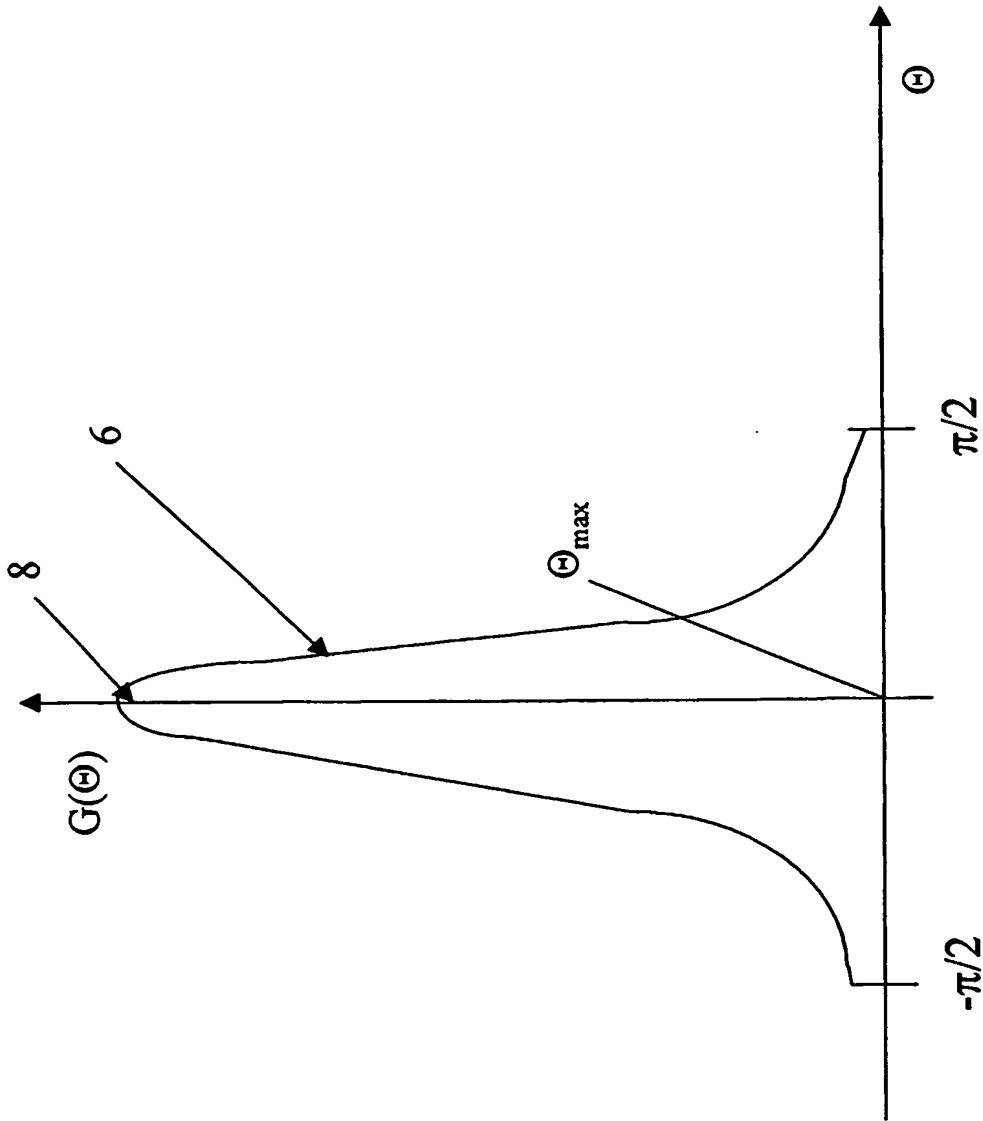
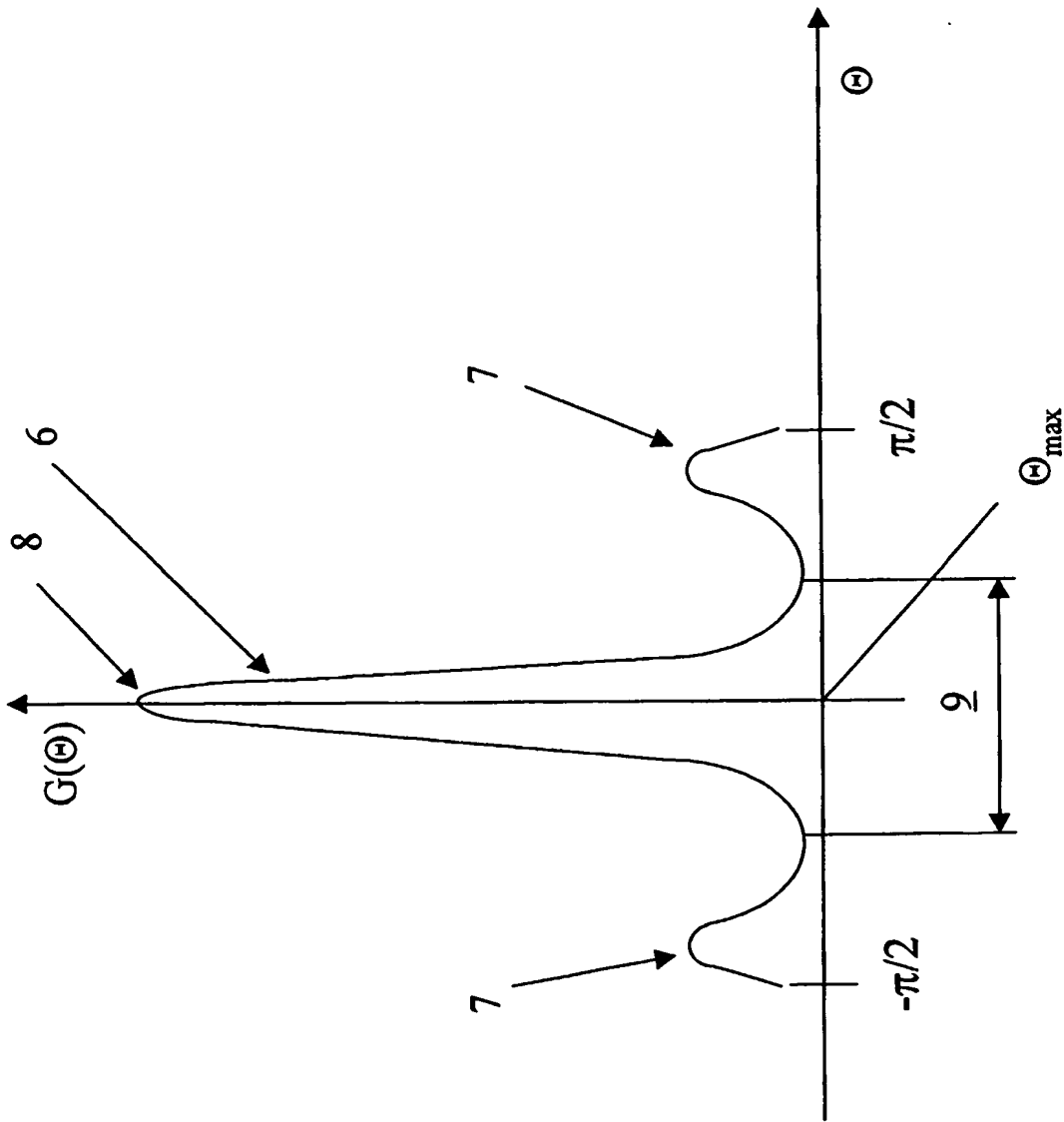
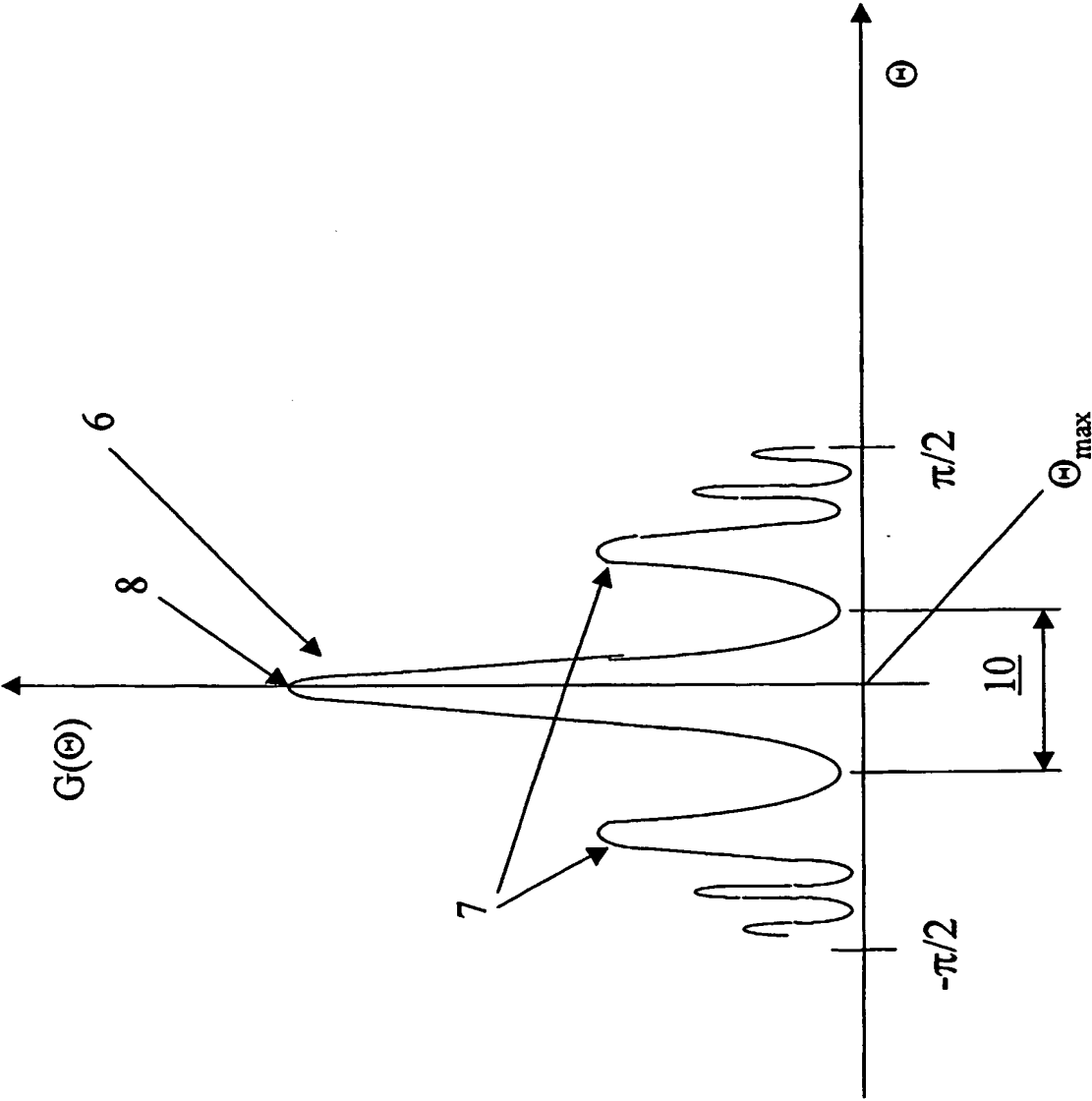


Fig. 3

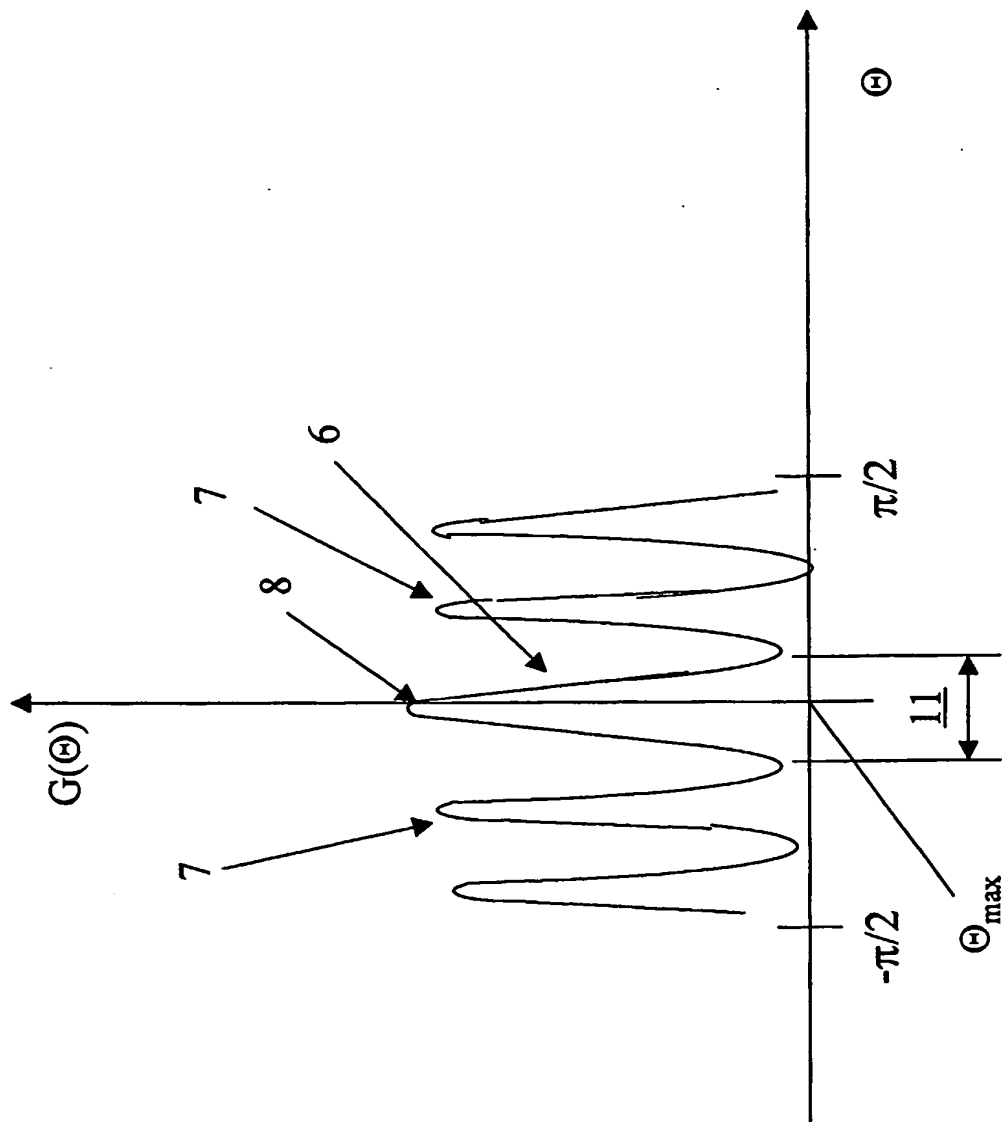


4/12

Fig. 4

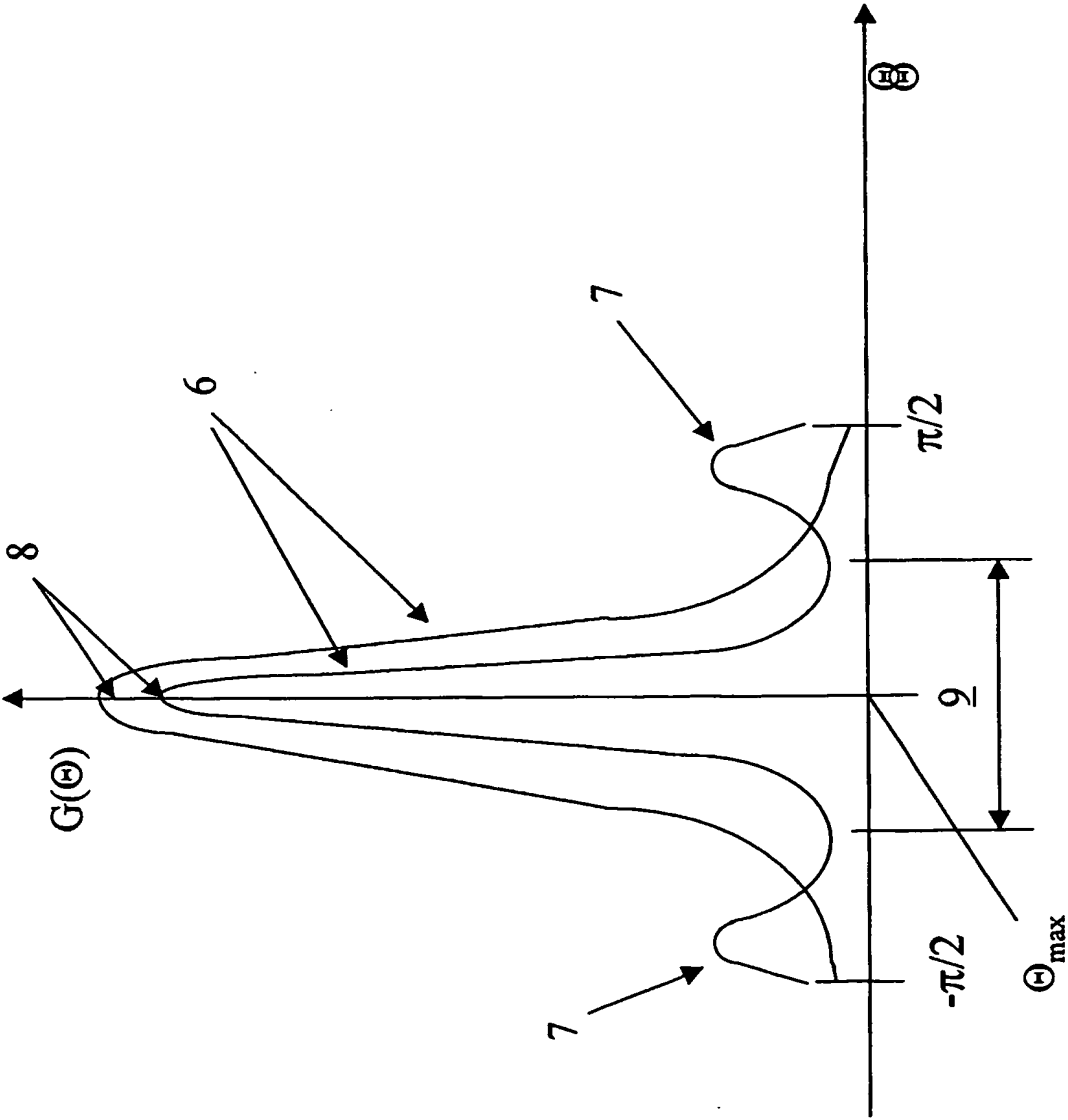


5/12

Fig. 5

6/12

Fig. 6



7/12

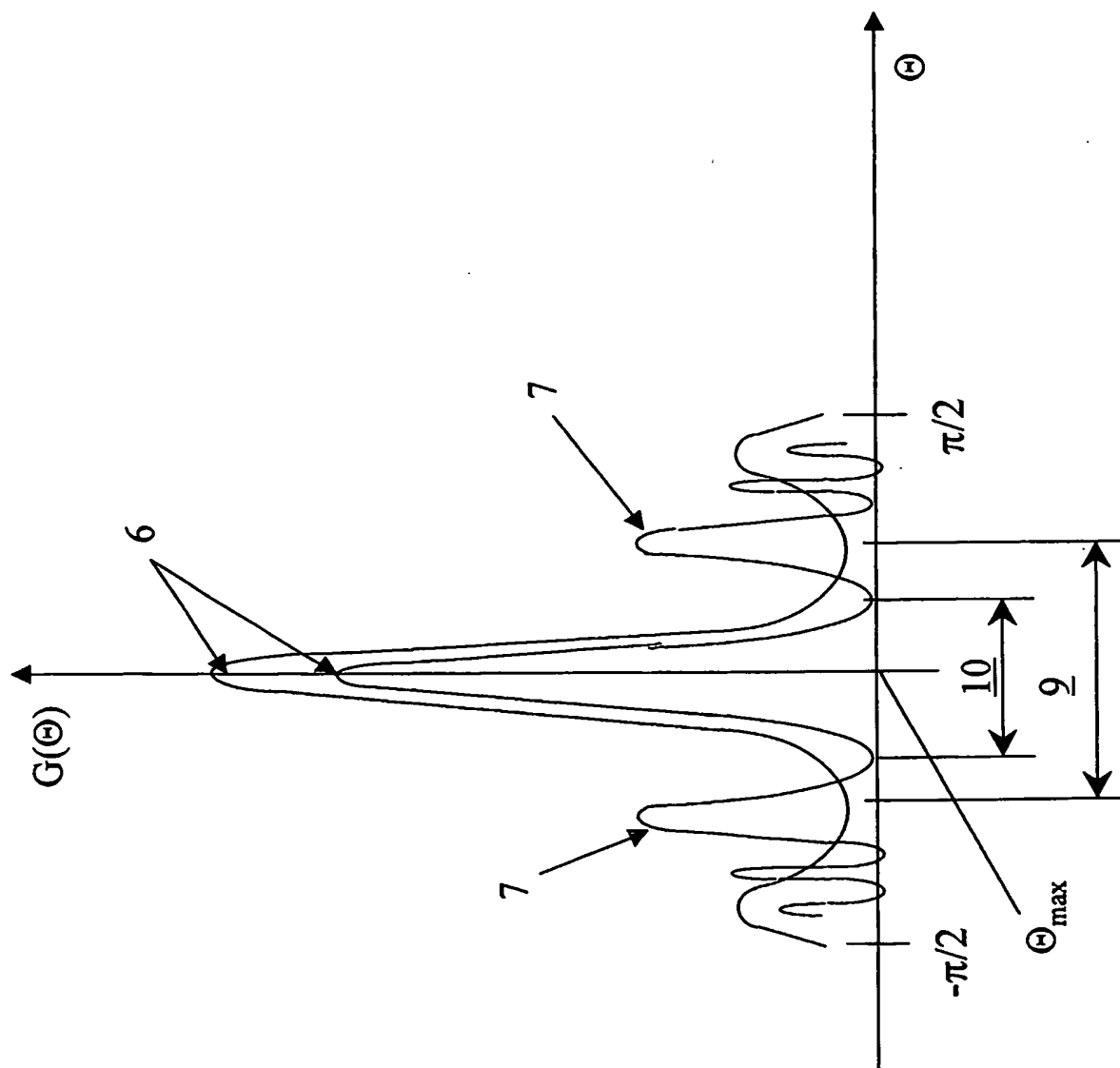
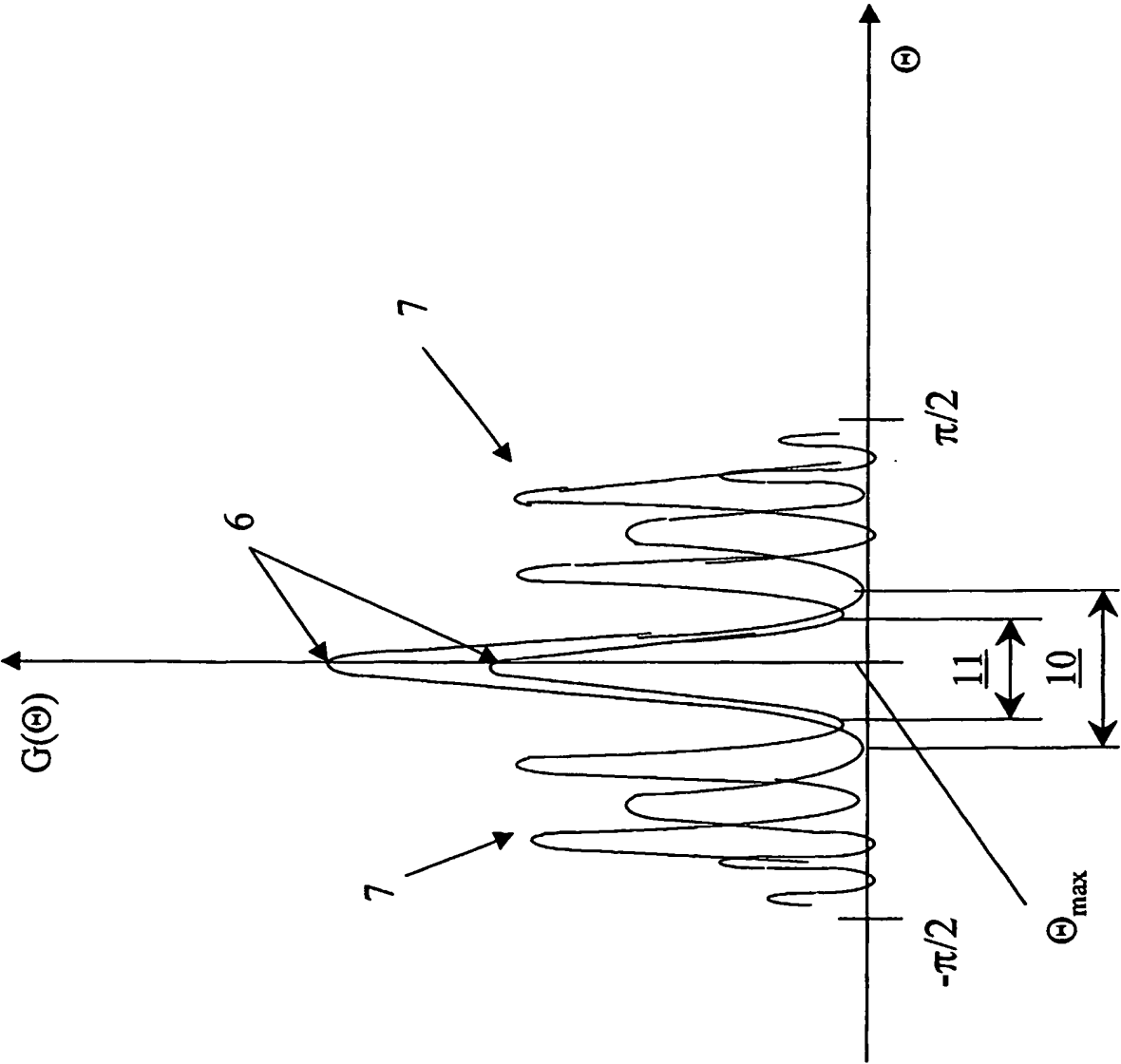
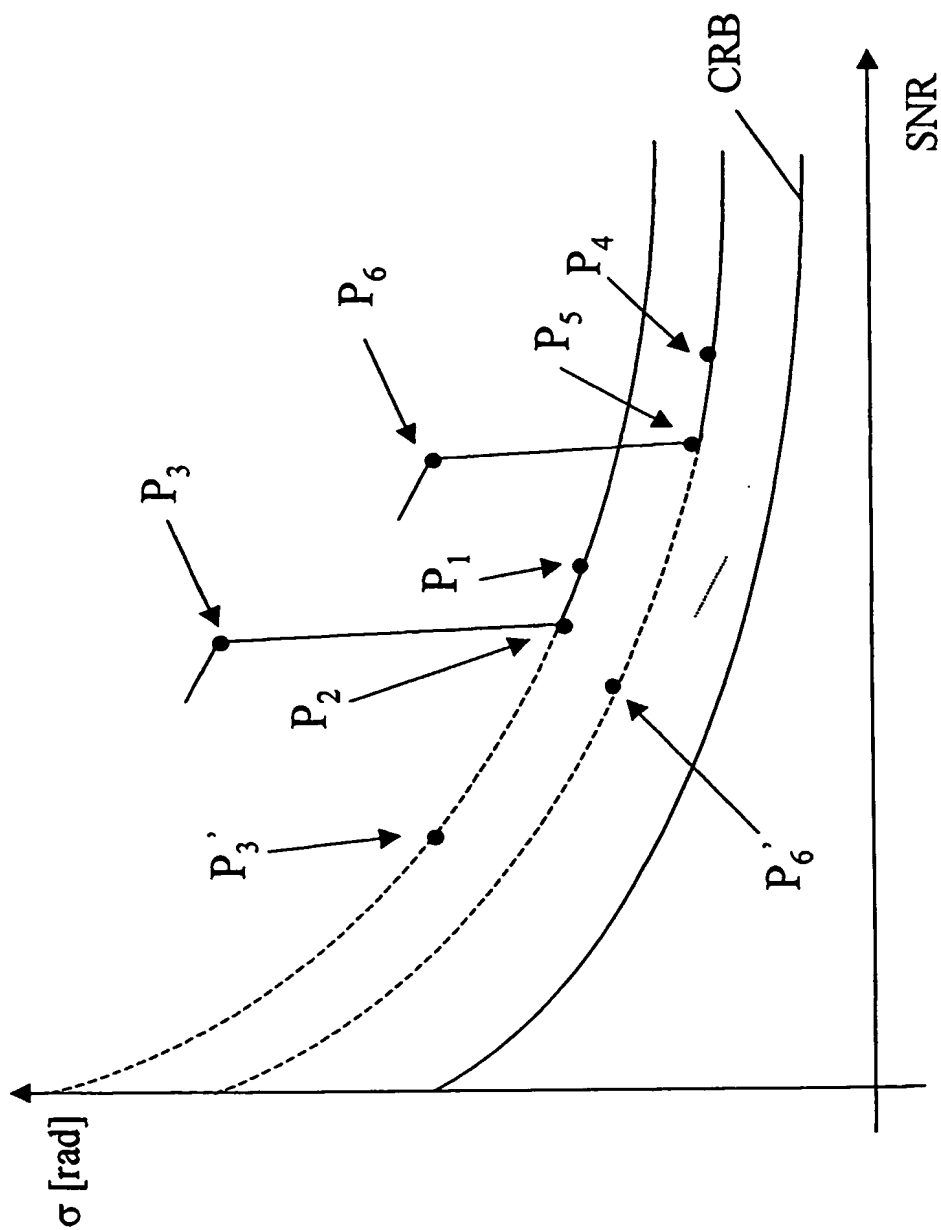
Fig. 7

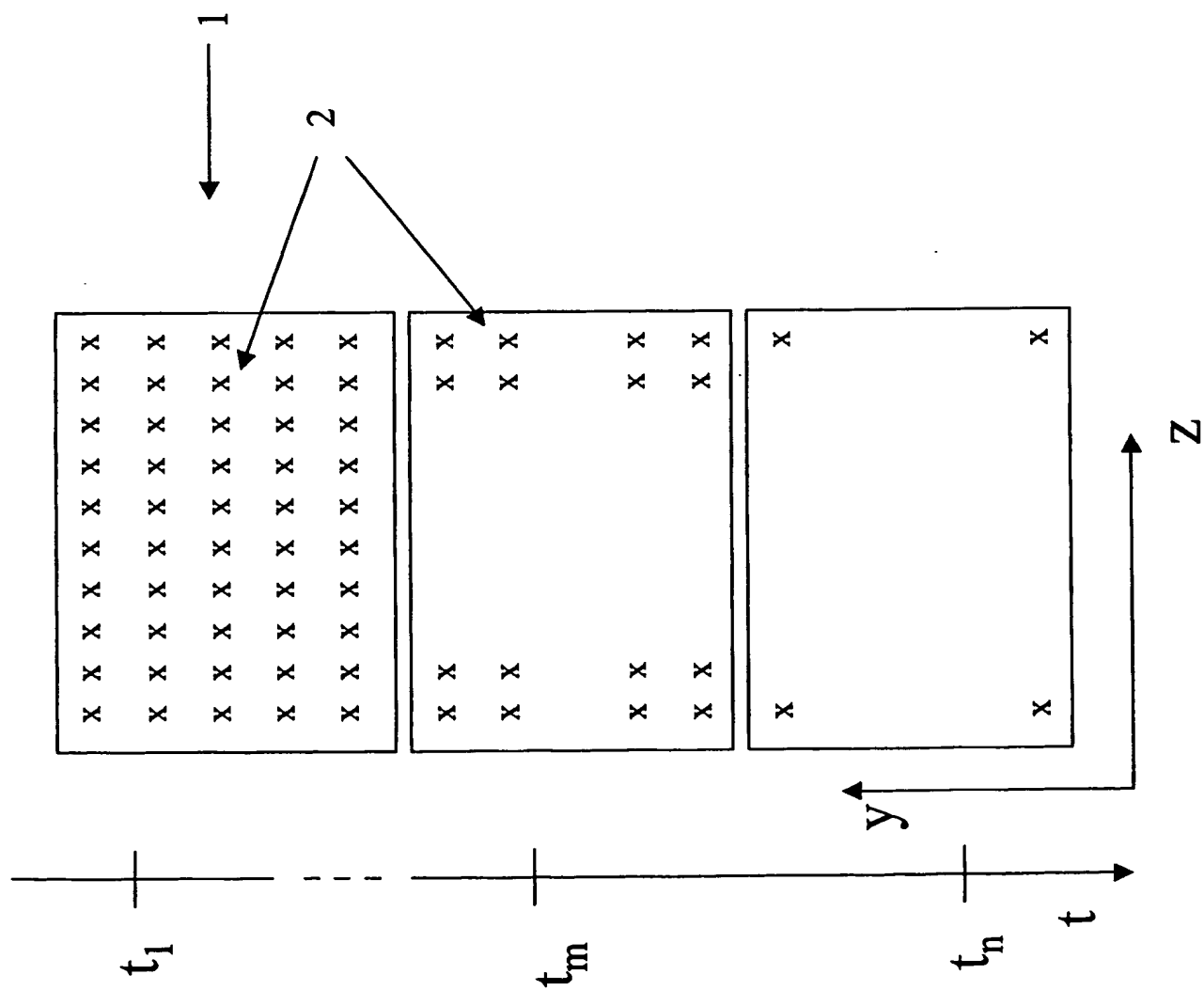
Fig. 8



9/12

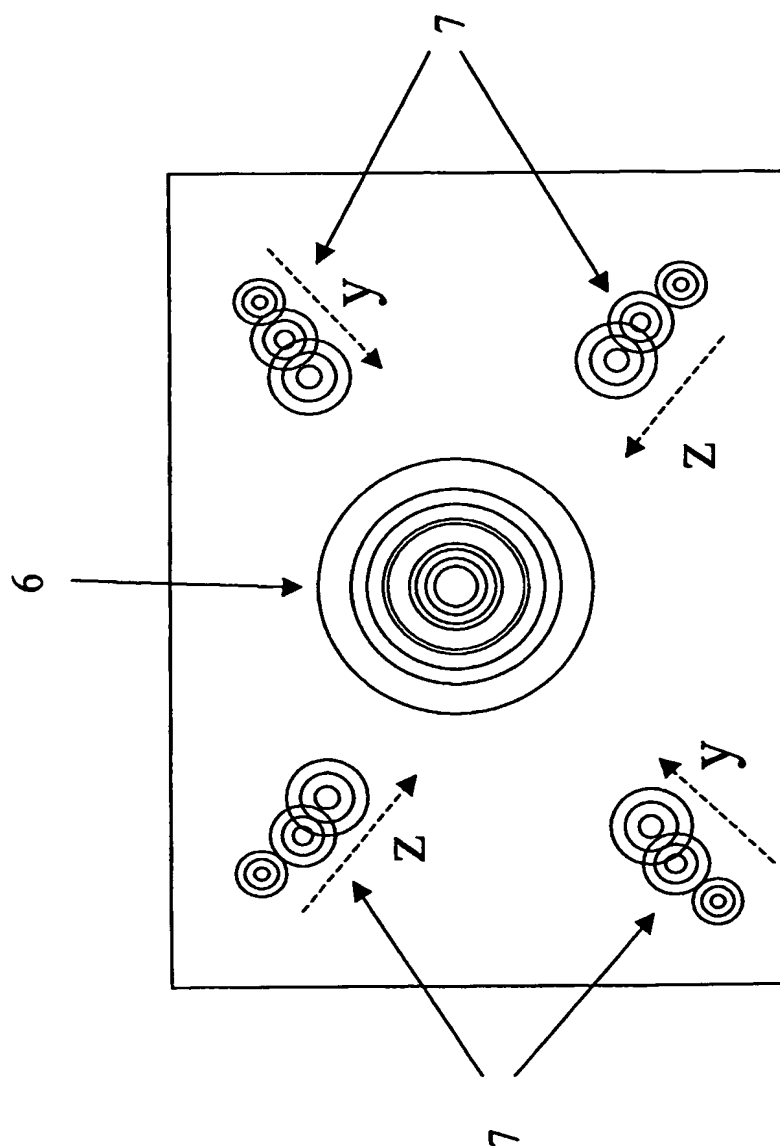
Fig. 9

10/12

Fig. 10

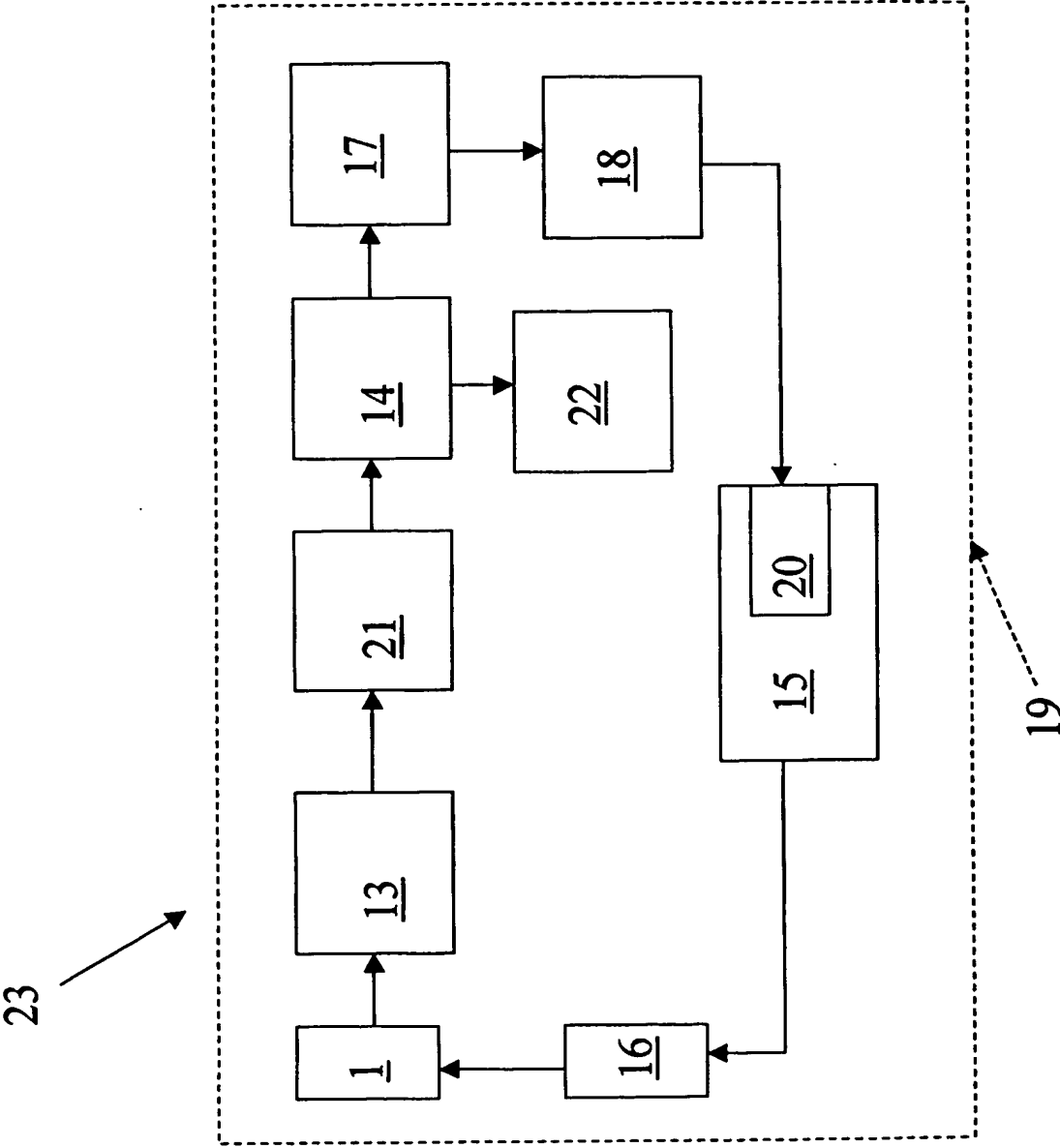
11/12

Fig. 11



12/12

Fig. 12



INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 02/01550

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H01Q 3/01, H01Q 21/00, G01S 3/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G01S, H01Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0520489 A2 (HUGHES AIRCRAFT COMPANY), 30 December 1992 (30.12.92), column 2, line 18 - line 40, figures 1 a-c, abstract --	1-12
A	US 5339284 A (JULIUS A. KAISER), 16 August 1994 (16.08.94), column 1, line 62 - column 4, line 44, abstract --	1-12
A	US 4500883 A (FRANK S. GUTLEBER), 19 February 1985 (19.02.85), see the whole document -- -----	1-12

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

21 March 2003

Date of mailing of the international search report

25 -03- 2003

Name and mailing address of the ISA/
Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Anna Malmberg/mj
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT
Information on patent family members

30/12/02

International application No.
PCT/SE 02/01550

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
EP	0520489	A2	30/12/92	SE 0520489 T3 CA 2071663 A,C DE 69224109 D,T ES 2111017 T IL 102268 A JP 2564449 B JP 5188132 A US 5225841 A	28/12/92 20/08/98 01/03/98 31/08/95 18/12/96 30/07/93 06/07/93

US	5339284	A	16/08/94	NONE	

US	4500883	A	19/02/85	NONE	

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.